FORM NO. MGT-9

EXTRACTS OF ANNUAL RETURN

as on the financial year ended on 31st March, 2020

Pursuant to the provisions of Section 92 read with Rule 12 of the Companies (Management and administration) Rules, 2014

I. REGISTRATION AND OTHER DETAILS

i)	CIN	U67120CH2000PLC023244
ii)	Registration Date	7 th January, 2000
iii)	Name of the Company	LSC SECURITIES LIMITED (FORMERLY: L.S.E. SECURITIES LIMITED)
iv)	Category / Sub-Category of the Company	Company Limited by Shares/Non-Govt. Company
v)	Address of the Registered Office and contact details	SCO. 142-143, 4th Floor, Cabin no. 111, Sector 34 A, Chandigarh. Phone No. 0172-5065459, Email Id: cs@lsesl.com, Website: www.lse.co.in
vi)	Whether listed company	No
vii)	Name, Address and contact details of Registrar & Transfer Agents (RTA), if any	M/s. Beetal Financial and Computer Services Private Limited, Beetal House, 99, Madangir, B/4, Local Shopping Centre, Near Dada Harsukhdas Mandir, New Delhi 110 062.

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr. No.	Name and Description of main products/ services	NIC Code of the product/ service	% to total turnover of the Company
1.	Stock Broking and Depository Activities	661- Activities auxiliary to financial service activities, except insurance and pension funding	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	Name and Address of the Company	CIN/GLN	Holding/Subsidiary/ Associate	% of shares held	Applicable Section
1.	Ludhiana Stock and Capital Limited	U67120P B1981PL C004696	Holding	51.71	2 (46)

IV. SHAREHOLDING PATTERN (Equity Share Capital Breakup as % of total Equity)

i. Category-wise Share Holding

Category of Shareholders	No. of sh	nares at the b	eginning of th	ie year	No. o	f shares at th	e end of the y	vear	% Change
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	during the year
A. Promoters									
(1) Indian									
(a) Individual/HUF	34300	18500	52800	0.82	16000	18500	34500	0.54	-0.28
(b) Central Govt.	-	-	-	-	-	-	-	-	-
(c) State Govt(s).	-	-	-	-	-	-	-	-	-
(d) Bodies Corporate	3331075	-	3331075	51.71	3331075	-	3331075	51.71	-
(e) Banks/FI	-	-	-	-	-	-	-	-	-
(f) Any other	-	-	-	-	-	-	-	-	-
Sub-Total (A)(1)	3365375	18500	3383875	52.53	3347075	18500	3365575	52.25	-0.28
(2) Foreign									
(a) NRIs- Individuals	-	-	-	-	-	-	-	-	-
(b) Other Individuals	-	-	-	-	-	-	-	-	-
(c) Bodies Corporate	-	-	-	-	-	-	-	-	-
(d) Banks/FI	-	-	-	-	-	-	-	-	-
(e) Any Other	-	-	-	-	-	-	-	-	-
Sub-Total (A)(2)	-	-	-	-	-	-	-	-	-
Total Shareholding of	3365375	18500	3383875	52.53	3347075	18500	3365575	52.25	-0.28
Promoters (A)=(A)(1)+(A)(2)									
B. Public Shareholding									
1. Institutions									

Shareholding									
Total Public	1115100	1942800	3057900	47.47	1400400	1675800	3076200	47.75	0.28
Sub-Total (B)(2)	1115100	1942800	3057900	47.47	1400400	1675800	3076200	47.75	0.28
(c) Others (specify)									
nominal share Capital in excess of Rs. 1.00 Lakhs									
(ii) Individuals Shareholders holding	627500	654500	1282000	19.90	769800	560500	1330300	20.65	0.75
(i) Individuals Shareholders holding nominal share Capital upto Rs. 1.00 Lakhs	255100	689300	944400	14.66	388600	560300	948900	14.73	0.07
(b) Individuals									
(ii) Overseas	-	-	-	-	-	-	-	-	-
(j) Indian	232500	599000	831500	12.91	242000	555000	797000	12.37	-0.54
(a) Bodies Corp.									
2. Non-Institutions									
Sub-Total (B)(1)	-	-	-	-	-	-	-	-	-
(i) Others (Specify)									
(h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
(g) FIIs	-	-	-	-	-	-	-	-	-
(f) Insurance Companies	-	-	-	-	-	-	-	-	-
(e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
(d) State Govt(s).	-	-	-	-	-	-	-	-	-
(c) Central Govt.	-	-	-	-	-	-	-	-	-
(b) Banks/FI	-	-	-	-	-	-	-	-	-
(a) Mutual Funds	-	-	-	-	-	-	-	-	-

(B)=(B)(1)+(B)(2)									
C. Shares held by	-	-	-	-	-	-	-	-	-
Custodian for GDRs &									
ADRs									
Grand Total	4480475	1961300	6441775	100	4747475	1694300	6441775	100	0.00
(A+B+C)									

ii. Shareholding of Promoters

Sr. No.	Promoter's Name	Shareholding at t	he beginning of	the year	Shareholding	at the end of the	e year	% Change in Shareholding	
		No. of Shares	% of Total Shares of the Company	% of Shares Pledged/Encu mbered to Total Shares	No. of Shares	% of Total Shares of the Company	% of Shares Pledged/Encum bered to Total Shares	during the year	
1	Tribhawan Singh Thapar	26400	0.41	-	8100	0.13	-	-0.28	
2	Tarvinder Dhingra	8100	0.13	-	8100	0.13	-	-	
3	Raghubir Singal	100	0.00	-	100	0.00	-	-	
4	Dr. Rajiv Kalra	18100	0.28	-	18100	0.28	-	-	
5	Harjit Singh Sidhu	100	0.00	-	100	0.00	-	-	
6	Ludhiana Stock and Capital Limited	3331075	51.71	-	3331075	51.71	-	-	
	Total	3383875	52.53	-	3365575	52.25	-	-0.28	

iii. Change in Promoters/Promoters Group Shareholding (please specify, if there is no change)

Sr. No.	Shareholding at the beginning o	f the year		Date	Increase/D ecrease in Shareholdi	Reason	Cumulative shareho year	lding during the
	Date wise increase/decrease	No. of	% of		ng		No of shares	% of total
	in Promoters Share holding	Shares	total					shares of the
	during the year specifying the		shares of					company
	reasons for increase/decrease		the					
	(e.g.		company					
	allotment/transfer/bonus/sw							

	eat equity etc.)								
1	TRIBHAWAN SINGH THAP	AR				· · ·		•	
	At beginning of the year	26400	0.41	01.04.2019					
				25.10.2019	(8000)	Transfer of	1	8400	0.29
	Changes during the year					Shares			
				06.11.2019	(8000)	Transfer of Shares	of 1	0400	0.16
				10 11 2010	(2200)			0100	0.12
				16.11.2019	(2300)	Transfer o Shares	of	8100	0.13
	At the end of the year			31.03.2020				8100	0.13
2	TARVINDER DHINGRA								
	At beginning of the year	8100	0.13	01.04.2019					
	At beginning of the year	0100							
			N	o Change durin	g the year			r	
	At the end of the year			31.03.2020			8100		0.13
3	RAGHUBIR SINGAL								
	At beginning of the year	100	0.00	01.04.2019					
			N	o Change durin	g the year				
	At the end of the year			31.03.2020			100		0.00
4	DR. RAJIV KALRA								
	At beginning of the year	18100	0.28	01.04.2019					
					a the year				
			IN	o Change durin	g the year	r			
	At the end of the year			31.03.2020			18100		0.28
5	HARJIT SINGH SIDHU								
	At beginning of the year	100	0.00	01.04.2019					
			N	l o Change durin	g the year	<u> </u>		<u> </u>	
	At the end of the year			31.03.2020			100		0.00
								1	

6	LUDHIANA STOCK AND CAPITAL LIMITED										
	At beginning of the year	3331075	51.71	01.04.2019							
		No Change during the year									
	At the end of the year			31.03.2020			3331075	51.71			

iv. Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)

Sr. No.	Shareholding at the beginning of	the year		Date	Increase/Dec ase in Shareholding		Cumulativ during the		olding
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/swe at equity etc.)	No. of Shares	% of total shares of the company		Shareholding		No of shar	No of shares	
1	ARORA STOCK BROKERS LTD.	28500	0.44	01.04.2019					
			Nc	Change durin	g the year				
	At the end of the year			31.03.2020			2	28500	0.44
						I			
2	RAKESH KUMAR CHANDAK	28000	0.43	01.04.2019					
		<u> </u>	Nc	Change durin	g the year				
	At the end of the year			31.03.2020			28000		0.43
3	SONIA GUPTA	20000	0.31	01.04.2019					
	Changes during the year			29.09.2019	1000	Transfer of Shares	21000		0.32
	At the end of the year			31.03.2020			21000		0.32
	1			1					
4	KRISHAN CHAND GUPTA	20000	0.31	01.04.2019					
			Nc	Change durin	g the year				
	At the end of the year			31.03.2020			20000		0.31
				1					

5	TOSHI BANSAL	20000	0.31	01.04.2019				
			Nc	Change during	g the year	L	L	
	At the end of the year			31.03.2020			20000	0.31
					<u> </u>			
6	NEERAJ GUPTA	20000	0.31	01.04.2019				
			Nc	Change during	g the year			
	At the end of the year			31.03.2020			20000	0.31
				I				
7	SANJAY ANAND	20000	0.31	01.04.2019				
			Nc	Change during	g the year			
	At the end of the year			31.03.2020			20000	0.31
				I	I	I	I	
8	ANUPAM SYAL	20000	0.31	01.04.2019				
			Nc	Change during	g the year	I	I	
	At the end of the year			31.03.2020			20000	0.31
	1			Ι	I	I	I	
9	K. K. CAPSEC PRIVATE LIMITED	20000	0.31	01.04.2019				
			Na	Change during	thowar			
			INC		g the year	l	20000	0.24
	At the end of the year			31.03.2020			20000	0.31
	I				r	I	I	
10	GULSHAN KAPOOR	20000	0.31	01.04.2019				
			Nc	Change during	g the year	ſ	ſ	
	At the end of the year			31.03.2020			20000	0.31

v. Shareholding of Directors and Key Managerial Personnel

Sr. No.	Shareholding at the beginning of the year	Date	Increase/De	Reason	Cumulative shareholding
			crease in		during the year

	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc.)	No. Of Shares	% of total shares of the compa ny		Shareholdin g		No of shares	% of total shares of the company		
1	JASPAL SINGH	20000	0.31	01.04.2019						
		I	No Cha	ange during th	e year	I				
	At the end of the year			31.03.2020			20000	0.31		
		26422			1					
2	TRIBHAWAN SINGH THAPAR	26400	0.41	01.04.2019						
	Changes during the year			25.10.2019	(8000)	Transfer of Shares	18400	0.29		
				06.11.2019	(8000)	Transfer of Shares	10400	0.16		
				16.11.2019	(2300)	Transfer of Shares	8100	0.13		
	At the end of the year			31.03.2020			8100	0.13		
3	BHAVESH MAKKAR	100	0.002	01.04.2019						
		No Change during the year								
	At the end of the year			31.03.2020			100	0.002		
				·						
4	PARMOD GOYAL	100	0.002	01.04.2019						
	No Change during the year									
	At the end of the year			31.03.2020			100	0.002		
5	RAKESH GUPTA	11500	0.18	01.04.2019						
			No Cha	ange during th	e year					
	At the end of the year			31.03.2020			11500	0.18		
					•		I			

6	NAGESH CHANDER	100	0.002	01.04.2019				
			No Cha	nge during the	e year			
	At the end of the year			31.03.2020			100	0.002
7	ASHOK KUMAR	-	-	01.04.2019				
		<u> </u>	No Cha	nge during the	e year			
	At the end of the year			31.03.2020			-	-
8	ASHWANI KUMAR	-	-	01.04.2019				
		II	No Cha	nge during the	e year	I		
	At the end of the year			31.03.2020			-	-
					I	I		
9	SHIV RAM MISHRA	-	-	01.04.2019				
			No Cha	nge during the	e year	I		
	At the end of the year			31.03.2020			-	-
	1				I	1		
10	SUMIT MALHOTRA	-	-	01.04.2019				
			No Cha	nge during the	e year	1		
	At the end of the year			31.03.2020			-	-

Note: (i) Mr. Shiv Ram Mishra, Company Secretary, had resigned from the Company w.e.f. 18.09.2019 and Mr. Sumit Malhotra was appointed as Company Secretary w.e.f. 19.09.2019.

(ii) Mr. Pritpal Singh, WTD cum CGM, had resigned from the services of the Company w.e.f. 01.06.2020

(iii) Mr. Jaspal Singh ceased to be Director w.e.f. 22.07.2020 due to death.

V. INDEBTEDNESS: Indebtedness of the Company including interest outstanding/accrued but not due for payment

(Rs. In Lakhs)

Secured Loans	Unsecured Loans	Deposits	Total Indebtedness

	excluding deposits			
Indebtedness at the beginning of the				
financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-
Change in Indebtedness during the financial year				
Addition	-	-	-	-
Reduction	-	-	-	-
Net Change	-	-	-	-
Indebtedness at the end of the financial year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. REMUNERATION TO MANAGING DIRECTOR, WHOLE-TIME DIRECTORS/OR MANAGER:

(Amount in Rs.)

Sr. No.	Particulars of Remuneration	Name of MD/WTD/Manager PRITPAL SINGH	Total
1	Gross Salary		

	(a) Salary as per provisions contained in	1001360	1001360
	Section 17(1) of the Income Tax, 1961		
	(b) Value of perquisites u/s 17(2) of the		
	Income Tax Act, 1961		
	(c) Profits in lieu of salary under section		
	17(3) of Income Tax Act, 1961		
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission	-	-
	-As % of Profit		
	-Others, Specify		
5	Others, Please Specify	-	-
	Total (A)	1001360	1001360
	Ceiling as per the Act	As per Section 197, 198 and read with Scheo Companies Act, 2013	dule V of the

Note: Mr. Pritpal Singh, WTD cum CGM had resigned from the Company w.e.f. 01.06.2020.

B. REMUNERATION TO OTHER DIRECTORS

(Amount in Rs.)

Sr. No.	Particulars of Remuneration	Name of Directors	Total
1	Independent Directors		
	Fee for attending board committee meetings	-	
	Commission	-	
	Others, please specify	-	
	Total (1)	-	
2	Other Non-Executive Directors		
	Fee for attending board meetings	-	
	Commission	-	

Others, please specify	-	-	-
Total (2)	-	-	-
Total (B)=(1)+(2)	-	-	-
Total Managerial Remuneration	-	-	-
Overall ceiling limit as the Act		_	

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/WTD/MANAGER

Sr. No.	Particulars of Remuneration	Key Managerial Personnel					
		SHIV RAM MISHRA (COMPANY SECRETARY) (01.04.2019 to 18.09.2019)	SUMIT MALHOTRA (COMPANY SECRETARY) (19.09.2019-31.03.2020)	Total			
1	Gross Salary						
	 (a) Salary as per provisions contained in Section 17(1) of the Income Tax, 1961 (b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961 (c) Profits in lieu of salary under section 17(3) of Income Tax Act, 1961 	164458	206234	370692			
2	Stock Option	-	-	-			
3	Sweat Equity	-	-	-			
4	Commission -As % of Profit -Others, Specify	-	-	-			
5	Others, Please Specify	-	-	-			
	Total	164458	206234	370692			

Note: Mr. Shiv Ram Mishra, Company Secretary, had resigned from the Company w.e.f. 18.09.2019 and Mr. Sumit Malhotra was appointed as Company Secretary w.e.f. 19.09.2019.

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES: NIL

Туре	Section of the	Brief	Details of	Authority	Appeal made, if any

	Companies Act	Description	Penalty/Punishme nt/Compounding fees Imposed	(RD/NCLT/COURT)	(Give Details)
A. COMPANY	I				1
Penalty					
Punishment			"NONE"		
Compounding					
B. DIRECTORS					
Penalty					
Punishment			"NONE"		
Compounding					
C. OTHER OFFICER	RS IN DEFAULT				
Punishment			"NONE"		
Compounding					
			of LSC SECURITIES	F OF THE BOARD OF I LIMITED SECURITIES LIMITED)	DIRECTORS
ACE: LUDHIANA TE: 17.10.2020			Sd/- PARMOD GOYAL (DIRECTOR)	-	J SUKHWAL cum GM)

(DIN: 00507724)

(DIN: 08750844)