

**FORM NO. MGT-9****EXTRACTS OF ANNUAL RETURN**as on the financial year ended on 31<sup>st</sup> March, 2020

Pursuant to the provisions of Section 92 read with Rule 12 of the Companies (Management and administration) Rules, 2014

**I. REGISTRATION AND OTHER DETAILS**

i)	CIN	U67120CH2000PLC023244
ii)	Registration Date	7 <sup>th</sup> January, 2000
iii)	Name of the Company	LSC SECURITIES LIMITED (FORMERLY: L.S.E. SECURITIES LIMITED)
iv)	Category / Sub-Category of the Company	Company Limited by Shares/Non-Govt. Company
v)	Address of the Registered Office and contact details	SCO. 142-143, 4th Floor, Cabin no. 111, Sector 34 A, Chandigarh. Phone No. 0172-5065459, Email Id: cs@lssl.com, Website: www.lse.co.in
vi)	Whether listed company	No
vii)	Name, Address and contact details of Registrar & Transfer Agents (RTA), if any	M/s. Beetal Financial and Computer Services Private Limited, Beetal House, 99, Madangir, B/4, Local Shopping Centre, Near Dada Harsukhdas Mandir, New Delhi 110 062.

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr. No.	Name and Description of main products/ services	NIC Code of the product/ service	% to total turnover of the Company
1.	Stock Broking and Depository Activities	661- Activities auxiliary to financial service activities, except insurance and pension funding	100

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES**

Sr. No.	Name and Address of the Company	CIN/GLN	Holding/Subsidiary/ Associate	% of shares held	Applicable Section
1.	Ludhiana Stock and Capital Limited	U67120P B1981PL C004696	Holding	51.71	2 (46)



(a) Mutual Funds	-	-	-	-	-	-	-	-	-
(b) Banks/FI	-	-	-	-	-	-	-	-	-
(c) Central Govt.	-	-	-	-	-	-	-	-	-
(d) State Govt(s).	-	-	-	-	-	-	-	-	-
(e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
(f) Insurance Companies	-	-	-	-	-	-	-	-	-
(g) FIs	-	-	-	-	-	-	-	-	-
(h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
(i) Others (Specify)									
<b>Sub-Total (B)(1)</b>	-	-	-	-	-	-	-	-	-
<b>2. Non-Institutions</b>									
(a) Bodies Corp.									
(j) Indian	232500	599000	831500	12.91	242000	555000	797000	12.37	-0.54
(ii) Overseas	-	-	-	-	-	-	-	-	-
(b) Individuals									
(i) Individuals Shareholders holding nominal share Capital upto Rs. 1.00 Lakhs	255100	689300	944400	14.66	388600	560300	948900	14.73	0.07
(ii) Individuals Shareholders holding nominal share Capital in excess of Rs. 1.00 Lakhs	627500	654500	1282000	19.90	769800	560500	1330300	20.65	0.75
(c) Others (specify)									
<b>Sub-Total (B)(2)</b>	<b>1115100</b>	<b>1942800</b>	<b>3057900</b>	<b>47.47</b>	<b>1400400</b>	<b>1675800</b>	<b>3076200</b>	<b>47.75</b>	<b>0.28</b>
<b>Total Public Shareholding</b>	<b>1115100</b>	<b>1942800</b>	<b>3057900</b>	<b>47.47</b>	<b>1400400</b>	<b>1675800</b>	<b>3076200</b>	<b>47.75</b>	<b>0.28</b>



	eat equity etc.)							
<b>1</b>	<b>TRIBHAWAN SINGH THAPAR</b>							
	At beginning of the year	26400	0.41	01.04.2019				
	Changes during the year			25.10.2019	(8000)	Transfer of Shares	18400	0.29
				06.11.2019	(8000)	Transfer of Shares	10400	0.16
				16.11.2019	(2300)	Transfer of Shares	8100	0.13
	At the end of the year			31.03.2020			8100	0.13
<b>2</b>	<b>TARVINDER DHINGRA</b>							
	At beginning of the year	8100	0.13	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			8100	0.13
<b>3</b>	<b>RAGHUBIR SINGAL</b>							
	At beginning of the year	100	0.00	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			100	0.00
<b>4</b>	<b>DR. RAJIV KALRA</b>							
	At beginning of the year	18100	0.28	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			18100	0.28
<b>5</b>	<b>HARJIT SINGH SIDHU</b>							
	At beginning of the year	100	0.00	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			100	0.00

<b>6</b>	<b>LUDHIANA STOCK AND CAPITAL LIMITED</b>							
	At beginning of the year	3331075	51.71	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			3331075	51.71

**iv. Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)**

Sr. No.	Shareholding at the beginning of the year			Date	Increase/Decrease in Shareholding	Reason	Cumulative shareholding during the year	
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/swe at equity etc.)	No. of Shares	% of total shares of the company				No of shares	% of total shares of the company
<b>1</b>	<b>ARORA STOCK BROKERS LTD.</b>	28500	0.44	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			28500	0.44
<b>2</b>	<b>RAKESH KUMAR CHANDAK</b>	28000	0.43	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			28000	0.43
<b>3</b>	<b>SONIA GUPTA</b>	20000	0.31	01.04.2019				
	Changes during the year			29.09.2019	1000	Transfer of Shares	21000	0.32
	At the end of the year			31.03.2020			21000	0.32
<b>4</b>	<b>KRISHAN CHAND GUPTA</b>	20000	0.31	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			20000	0.31

<b>5</b>	<b>TOSHI BANSAL</b>	20000	0.31	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			20000	0.31
<b>6</b>	<b>NEERAJ GUPTA</b>	20000	0.31	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			20000	0.31
<b>7</b>	<b>SANJAY ANAND</b>	20000	0.31	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			20000	0.31
<b>8</b>	<b>ANUPAM SYAL</b>	20000	0.31	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			20000	0.31
<b>9</b>	<b>K. K. CAPSEC PRIVATE LIMITED</b>	20000	0.31	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			20000	0.31
<b>10</b>	<b>GULSHAN KAPOOR</b>	20000	0.31	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			20000	0.31

**v. Shareholding of Directors and Key Managerial Personnel**

Sr. No.	Shareholding at the beginning of the year	Date	Increase/Decrease in	Reason	Cumulative shareholding during the year
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	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc.)	No. Of Shares	% of total shares of the company		Shareholding		No of shares	% of total shares of the company
<b>1</b>	<b>JASPAL SINGH</b>	20000	0.31	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			20000	0.31
<b>2</b>	<b>TRIBHAWAN SINGH THAPAR</b>	26400	0.41	01.04.2019				
	Changes during the year			25.10.2019	(8000)	Transfer of Shares	18400	0.29
				06.11.2019	(8000)	Transfer of Shares	10400	0.16
				16.11.2019	(2300)	Transfer of Shares	8100	0.13
	At the end of the year			31.03.2020			8100	0.13
<b>3</b>	<b>BHAVESH MAKKAR</b>	100	0.002	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			100	0.002
<b>4</b>	<b>PARMOD GOYAL</b>	100	0.002	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			100	0.002
<b>5</b>	<b>RAKESH GUPTA</b>	11500	0.18	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			11500	0.18



6	NAGESH CHANDER	100	0.002	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			100	0.002
7	ASHOK KUMAR	-	-	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			-	-
8	ASHWANI KUMAR	-	-	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			-	-
9	SHIV RAM MISHRA	-	-	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			-	-
10	SUMIT MALHOTRA	-	-	01.04.2019				
	No Change during the year							
	At the end of the year			31.03.2020			-	-

Note: (i) Mr. Shiv Ram Mishra, Company Secretary, had resigned from the Company w.e.f. 18.09.2019 and Mr. Sumit Malhotra was appointed as Company Secretary w.e.f. 19.09.2019.

(ii) Mr. Pritpal Singh, WTD cum CGM, had resigned from the services of the Company w.e.f. 01.06.2020

(iii) Mr. Jaspal Singh ceased to be Director w.e.f. 22.07.2020 due to death.

**V. INDEBTEDNESS: Indebtedness of the Company including interest outstanding/accrued but not due for payment**

(Rs. In Lakhs)

	Secured Loans	Unsecured Loans	Deposits	Total Indebtedness
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	<b>excluding deposits</b>			
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	-	-	-	-
<b>Change in Indebtedness during the financial year</b>				
Addition	-	-	-	-
Reduction	-	-	-	-
<b>Net Change</b>	-	-	-	-
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	-	-	-	-

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### A. REMUNERATION TO MANAGING DIRECTOR, WHOLE-TIME DIRECTORS/OR MANAGER:

(Amount in Rs.)

Sr. No.	Particulars of Remuneration	Name of MD/WTD/Manager	Total
		PRITPAL SINGH	
1	Gross Salary		

	(a) Salary as per provisions contained in Section 17(1) of the Income Tax, 1961  (b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961  (c) Profits in lieu of salary under section 17(3) of Income Tax Act, 1961	1001360	<b>1001360</b>
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission -As % of Profit -Others, Specify	-	-
5	Others, Please Specify	-	-
	<b>Total (A)</b>	1001360	<b>1001360</b>
	Ceiling as per the Act	As per Section 197, 198 and read with Schedule V of the Companies Act, 2013	

**Note: Mr. Pritpal Singh, WTD cum CGM had resigned from the Company w.e.f. 01.06.2020.**

#### **B. REMUNERATION TO OTHER DIRECTORS**

(Amount in Rs.)

<b>Sr. No.</b>	<b>Particulars of Remuneration</b>	<b>Name of Directors</b>		<b>Total</b>
1	Independent Directors			
	Fee for attending board committee meetings	-	-	-
	Commission	-	-	-
	Others, please specify	-	-	-
	<b>Total (1)</b>	-	-	-
2	Other Non-Executive Directors			
	Fee for attending board meetings	-	-	-
	Commission	-	-	-

	Others, please specify	-	-	-
	Total (2)	-	-	-
	Total (B)=(1)+(2)	-	-	-
	Total Managerial Remuneration	-	-	-
	Overall ceiling limit as the Act	-		

**C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/WTD/MANAGER**

Sr. No.	Particulars of Remuneration	Key Managerial Personnel		
		SHIV RAM MISHRA (COMPANY SECRETARY) (01.04.2019 to 18.09.2019)	SUMIT MALHOTRA (COMPANY SECRETARY) (19.09.2019-31.03.2020)	Total
1	Gross Salary			
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax, 1961	164458	206234	<b>370692</b>
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961			
	(c) Profits in lieu of salary under section 17(3) of Income Tax Act, 1961			
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission -As % of Profit -Others, Specify	-	-	-
5	Others, Please Specify	-	-	-
	<b>Total</b>	<b>164458</b>	<b>206234</b>	<b>370692</b>

**Note: Mr. Shiv Ram Mishra, Company Secretary, had resigned from the Company w.e.f. 18.09.2019 and Mr. Sumit Malhotra was appointed as Company Secretary w.e.f. 19.09.2019.**

**VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES: NIL**

Type	Section of the	Brief	Details of	Authority	Appeal made, if any
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	Companies Act	Description	Penalty/Punishment/Compounding fees Imposed	(RD/NCLT/COURT)	(Give Details)
<b>A. COMPANY</b>					
Penalty	<b>"NONE"</b>				
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty	<b>"NONE"</b>				
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	<b>"NONE"</b>				
Punishment					
Compounding					

**FOR AND ON BEHALF OF THE BOARD OF DIRECTORS  
of LSC SECURITIES LIMITED  
(FORMERLY L.S.E. SECURITIES LIMITED)**

**PLACE: LUDHIANA  
DATE: 17.10.2020**

**Sd/-  
PARMOD GOYAL  
(DIRECTOR)  
(DIN: 00507724)**

**Sd/-  
MANOJ SUKHWAL  
(WTD cum GM)  
(DIN: 08750844)**